

1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Defossé et al.

RECEIVED

Serial No.:

09/853,366

FEB 1 9 2003

Date Filed:

May 11, 2001

Technology Center 2100

Group Art Unit:

2154

Examiner:

Unknown

Title:

Method and System for the Optimal Formatting,

Reduction and Compression of DEX/UCS Data

Honorable Assistant Commissioner of Patents Washington, D.C. 20231 I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service as Express Mail EV203841742US addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231, on the date shown below.

Shannon Judice

12 /U 5

Dear Sir:

# INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified application. Copies of the references are enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. §§1.97(g) and (h), no representation is made that these references are material to the patentability of the present application.

2

Also enclosed is a Change in Correspondence Address. Applicants believe no fees are due; however, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 50-2148 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P. Attorneys for Applicants

Thomas R. Felger Reg. No. 28,842

31625

PATENT TRADEMARK OFFICE

10 FEB 2003

Correspondence Address: 1500 San Jacinto Center 98 San Jacinto Blvd. Austin, Texas 78701 512.322.2599 512.322.8305 (Fax)

PTO-1449

Application No.	Applicant(s)				
10/853,366	Defossé et al.				
Docket Number	Group Art Unit	Filing Date			
064814.0132	2154	05/11/01			

# Information Disclosure Citation in an Application

#### U.S. PATENT DOCUMENTS

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
Α.	4412292	10/25/83	Sedam et al.	364	479	
B.	4454670	6/19/84	Bachmann et al.	40	584	12/4/81
C.	4677565	6/30/87	Ogaki et al.	364	479	2/11/86
D.	4766548	8/23/88	Cedrone et al.	364	479	1/2/87
E.	4850009	7/18/89	Zook et al.	379	96	5/31/88
F.	4954697	9/4/90	Kokubun et al.	235	381	4/6/89
G.	5029098	7/1991	Lavasseur	364	479	
H.	5077582	12/31/91	Kravette et al.	355	206	4/20/89
I.	5090589	2/25/92	Brandes et al.	221	2	2/20/87

#### FOREIGN PATENT DOCUMENTS

-		DOCUMENT NO.	DATE COUNTRY	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
				COUNTRI			YES	NO
	J.	WO 95/04333	02/09/95	PCT	G07F 9	02		
	K.	0 817 138 A1	01/07/98	EP	G07F 9	00		
	L.	WO 00/04476	01/27/00	PCT	G06F	17/60	х	

### NON-PATENT DOCUMENTS

	DOCUMENT (Including Author, Title, Source, and Pertinent Pige)	DATE		
М.	International Search Report for PCT/US99/05983 7 pages (064814.0107)	Mailed 8/13/99		
N.	International Search Report PCT/US01/16749 (064814.0145)  FEB 1 9 2003	Mailed 12/20/01		
О.	International Search Report PCT/US01/16749 (064814.0145)  International Search Report PCT/US01/15522  Technology Center 2100	Mailed 5/16/02		
P.	Skywire Provides Details of Wireless 'VendView' System; Vending Times, Sep., 1994	9/1994		
Q.	Skywire allows vendor tracking of pop stock and sales details; RCR, vol. 14, No. 17	9/4/95		
R.	Left high and dry? Sold-out machine sends for Cokes; Nashville Banner			
S.	Leitch, Carolyn, "Coke machines signal when it's time for a refill"; The Globe & Mail, Toronto, Ontario	8/30/95		
T.	Wireless Communications Forum; vol. III, No 1 pp. 25-30.	4/1995		
U.	Meet the Smart Coke Machine; The Sacramento Bee Business Technology; Wednesday, Aug. 30, 1995	8/30/95		

EXAMINER DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Application No. Applicant(s) PTO-1449 10/853,366 Defossé et al. **Information Disclosure Citation** Docket Number Group Art Unit Filing Date in an Application 064814.0132 2154 05/11/01 **U.S. PATENT DOCUMENTS SUBCLASS** FILING DATE DOCUMENT NO. DATE NAME **CLASS** A. 5091713 02/25/92 Horne et al. 340 541 B. 5117407 5/26/92 Vogel 369 30 8/24/89 C. 355 206 7/31/91 5184179 2/2/93 Tarr et al. D. 05/04/93 Schwartzendruber 6 5207784 221 479 E. 5239480 8/24/93 Huegel 364 2/12/91 F. 3/18/91 222 5255819 10/26/93 Peckel 5 G. Mii 364 479 5282127 1/1994 H. 364 479 5339250 08/16/94 Durbin I. 235 472 10/16/92 5371348 12/6/94 Kumar et al. J. 5386360 1/31/95 Wilson et al. 364 146 4/1/92 K. 3/21/95 364 146 8/5/92 5400246 Wilson et al. L. 8/29/95 221 3 1/17/92 5445295 Brown M. 5505349 4/9/96 Peckels 222 641 10/26/93 N. 6/6/95 5507411 4/16/96 Peckels 222 1 Ο. 479.05 10/22/90 5561604 10/1/96 Buckley et al. 364 P. 1/14/98 5986219 11/16/96 Carroll et al. 177 1 Q. 5608643 3/4/97 Wichter et al. 364 479.14 9/1/94 R. Facchin et al. 364 479 8/1/94 5701252 12/23/97 FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT NO. DATE COUNTRY **CLASS** SUBCLASS YES NO S. G07F 11 2 744 545 02/02/96 32 FR T. 17/42 0 564 736 A1 10/13/93 EP G07F Х 17/60 U. WO 00/04475 01/27/00 **PCT** G06F Х ٧. WO 89/07807 8/24/89 **PCT** G07F 9/02 Х NON-PATENT DOCUMENTS DOCUMENT (Including Author, Title, Source, and Pertinent Pages) DATE W. International Search Report PCT/US 01/31381 (064814.0209) Mailed 11/7/02 Mailed X. International Search Report PCT US 01/41640 8/21/02 **EXAMINER** DATE CONSIDERED EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Application No. Applicant(s) PTO-1449 10/853,366 Defossé et al. Information Dischosure Citation Docket Number Group Art Unit Filing Date in an Application 064814.0132 2154 05/11/01 **U.S. PATENT DOCUMENTS SUBCLASS** FILING DATE **CLASS** DATE DOCUMENT NO. NAME 73 865.9 1/25/96 A. 1/13/98 Wyss 5708223 B. 7/28/98 5787149 Yousefi et al. 379 59 11/16/95 C. 455 426 3/25/96 5794144 8/11/98 Comer et al. D. 9/1998 8/1/94 5805997 **Farris** Ote et al 183.07 5/30/96 E. 5815652 9/29/98 395 F. 296 10/6/98 358 5818603 10/6/98 Motoyama G. 221 150 R 12/10/96 12/1/98 Kraus et al 5842597 364 479.14 3/30/95 H. 5844808 12/1/98 Konsmo et a 3/27/96 340 825.54 I. 12/15/98 Carrender et a 5850187 J. 5862517 1/1999 Honey et al. N K. Simmon et al. 395 500 2/14/94 5867688 2/2/99 L. 4/1999 5892758 Argyoudis 10/13/95 M. 5898904 4/27/99 455 31.3 Wang N. 5905442 5/1999 Mosebrook et al. FOREIGN PATENT DOCUMENTS TRANSLATION **COUNTRY CLASS SUBCLASS** DOCUMENT NO. DATE YES Ο. **PCT** G06F 1/00 X WO 97/09667 3/13/97 P. G06F 11/00 X 10/15/98 **PCT** WO 98/45779 9/00 Х Q. WO 99/36751 7/22/99 · PCT G01G G06F 3/00 X R. 9198172A2 7/31/97 JP (abstract translated) S. H<sub>02</sub>J 13/00 6296335A2 10/21/94 JP (abstract translated) NON-PATENT DOCUMENTS DATE DOCUMENT (Including Author, Title, Source, and Pertinent Pages) T. Printed 5/10/00 NetBotz Internet Article, "Welcome to Netbotz" at internet <a href="http://www.netbotz.com">http://www.netbotz.com</a> U. American Power Conversion Internet Article, "Lightning Advisor", at internet ,<a href="http://lightning.apcc.com">http://lightning.apcc.com</a> Printed 5/10/00 DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

FEB 1 2 2003 Application No. Applicant(s) PTO-1449 10/853,366 Defossé et al. Information Dischosure Citation Group Art Unit Docket Number Filing Date in an Application 064814.0132 2154 05/11/01 U.S. PATENT DOCUMENTS CLASS SUBCLASS FILING DATE DOCUMENT NO. DATE NAME A. 5905882 5/1999 Sakagami et al. B. 5907491 5/25/99 Canada et al. 364 468.15 4/4/97 C. 825.22 5909183 6/1999 Borgstahl et al. 340 D. 1/22/96 5915207 6/22/99 Dao et al. 455 Ė. 5924081 7/13/99 Ostendorf et al. 705 30 11/14/95 F. 5930770 7/27/99 705 28 12/2/96 Edgar G. 7/27/99 705 28 12/20/96 5930771 Stapp H. 194 217 7/31/96 5941363 8/24/99 Partyka et al. I. 5943042 8/24/99 Siio 10/5/95 J. 5949779 9/1999 Mostafa et al. FEB 1 9 2003 K. 5956487 9/1999 Venkatraman et al. Technology Center 2100 L. 9/1999 5959536 Chambers et al. M. 12/3/96 5959869 9/28/99 Miller et al. 364 479.1 N. 11/9/99 342 357.07 11/24/97 5982325 Thornton et al. FOREIGN PATENT DOCUMENTS TRANSLATION SUBCLASS DOCUMENT NO. DATE **COUNTRY CLASS** YES 29/06 0. 0 602 787 A2 10/18/93 EP H04L Х P. WO 00/31701 G07F 9/02 X 6/2/00 **PCT** Q. WO 99/23620 5/14/99 **PCT** G07F 9/02 X R. **PCT** G06F 17/00 X WO 99/27465 6/3/99 S. 41 40 450 A1 6/9/93 G07F 9/02 Х DE NON-PATENT DOCUMENTS DATE DOCUMENT (Including Author, Title, Source, and Pertinent Pages) T. Printed 5/10/00 American Products Internet Article, "Product Information", at internet, <a href="http://www.apc.com">http://www.apc.com</a> U. ٧. DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Applicant(s) Application No. PTO-1449 10/853,366 Defossé et al. Information Disclosure Citation Docket Number Group Art Unit Filing Date in an Application 064814.0132 2154 05/11/01 **U.S. PATENT DOCUMENTS** SUBCLASS FILING DATE DOCUMENT NO. **CLASS** DATE NAME A. 705 9/9/97 5991749 11/23/99 44 Morrill Jr. B. 6003070 12/1999 Frantz C. 6005850 12/21/99 Moura et al. 370 282 8/21/96 D. 1/4/00 705 28 2/28/97 6012041 Brewer et al. E. 6021324 2/2000 Sizer II, et al. F 7/14/97 6021437 2/1/00 Chen et al. 709 224 G. 6/6/97 6029143 2/22/00 Mosher et al. 705 28 H. 6032202 2/2000 Lea et al. I. McGarry et al. 700 231 11/26/97 6038491 3/14/00 J. 9/21/98 6052667 4/18/00 Walker et al. 705 15 K. 1/6/98 6052750 4/18/00 Lea 710 72 L. 340 5/20/98 6057758 5/2/00 Dempsey et al. 539 M. 6061668 5/9/00 705 400 11/10/97 Sharrow N. 1/6/98 348 12 6072521 6/6/00 Harrison et al. FOREIGN PATENT DOCUMENTS TRANSLATION **SUBCLASS** DOCUMENT NO. DATE **COUNTRY** CLASS NO Ο. 17/60 2 755776 5/15/98 FR G06F Х P. EP G07F 0 999 529 5/10/00 Q. R. NON-PATENT DOCUMENTS DOCUMENT (Including Author, Title, Source, and Pertinent Pages) S. T. U. **EXAMINER** DATE CONSIDERED EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

					- <del></del>				
PT	D-1449	W.	TAR CALL	Application No.	Applicant(s)				
Information Disclosure Citation in an Application			Dew Ura Citation	10/853,366 Docket Number	Defossé et al.  Group Art Unit Filing De				
				Docket Number	Group Art Or		Filing Date		
m an Application				064814.0132	2154	2154 05/11/01			
	U.S. PATENT DOCUMENTS								
		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE		
	Α.	6085888	7/11/00	Tedesco et al.	194	217	7/14/99		
	В.	6119100	9/12/00	Walker et al.	705	20	10/6/97		
	C.	6161059	12/12/00	Tedesco et al.	700	232	9/14/98		
	D.	6181981	1/30/01	Varga et al.	700	236	5/15/96		
	E.	6230150	5/2001	Walker et al.		····			
	F.	6272395	8/7/01	Brodbeck	700	236	12/3/99		
	G.	6457038	9/24/02	Defosse	709	200	3/12/99		
	Н.	5982652	11/9/99	Simonelli et al.	363	142	7/14/98		
	I.								
	J.								
•				FOREIGN PATENT DOCUMENT	rs				
•		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO		
	K.					ECEIV	ED		
	L.	•			•				
	М.	·				FEB 1 9 20			
	N.				Te¢	hnology Cent	er 2100		
				NON-PATENT DOCUMENTS					
			DOCUMENT (Inc	cluding Author, Title, Source, and F	Pertinent Pages)		DATE		
	O.								
	Р.								
	Q.								
	R.								
	S.								
	T.								
	U.								
	V.								
	W.					<u> </u>			
EXAMINER DATE CONSIDERED									
EX.	AMINER:	Initial if citation consider nelude copy of this form w	ed, whether or not cita	ation is in conformance with MPEP § on to the applicant.	609. Draw line through	citation if not in con	formance and not		
	considered. Include copy of this form with next communication to the applicant.								